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NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

William S. Frommer Frommer Lawrence & Haug 745 Fifth Avenue New York, NY 10151 9901/2011 EXAMINER
DUNN, MISHAWN N

ART UNIT PAPER NUMBER

2404

DATE MAILED: 09/01/2011

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO.

10/59/053 0700/2007 Kenii Hoodo 450106/05732 8332

TITLE OF INVENTION: INFORMATION PROCESS APPARATUS AND METHOD, PROGRAM RECORD MEDIUM, AND PROGRAM

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO | \$1510 | \$300 | \$0 | \$1810 | 12/01/2011 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

IL PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as

| indicated unless correct maintenance fee notifica | ed below or directed of | herwise in Block 1, by (a | a) specifying a new con | | | varate "FEE ADDRESS" for |
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| | ENCE ADDRESS (Note: Use B | iock 1 for any change of address) | N Fe pe h | ote: A certificate of se(s) Transmittal. This apers. Each additional to its own certificate | mailing can only be used f s certificate cannot be used paper, such as an assignm of mailing or transmission. | or domestic mailings of the for any other accompanying ent or formal drawing, must |
| William S. Frommer Frommer Lawrence & Haug 745 Fifth Avenue New York, NY 10151 | | | I Si ac tr | hereby certify that thi | ificate of Mailing or Tran s Fee(s) Transmittal is bein ith sufficient postage for fir Stop ISSUE FEE address (O (571) 273-2885, on the d | smission g deposited with the United st class mail in an envelope above, or being facsimile late indicated below. |
| | | | Г | | | (Depositor's name) |
| | | | | | | (Signature) |
| | | | | | | (Date) |
| APPLICATION NO. | FILING DATE | | FIRST NAMED INVENTO |)R | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
| 10/559,953 | 07/05/2007 | | Kenji Hyodo | 450106-05232 833 | | |
| | | CESS APPARATUS AN | | | | - |
| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DU | E PREV. PAID ISSUE | FEE TOTAL FEE(S) DUE | E DATE DUE |
| nonprovisional | NO | \$1510 | \$300 | \$0 | \$1810 | 12/01/2011 |
| EXAM | IINER | ART UNIT | CLASS-SUBCLASS | | | |
| DUNN, MI | SHAWN N | 2484 | 386-046000 | | | |
| CFR I.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. Tec Address indication (or Tec Address' Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. | | | (1) the names of up to 3 registered patent attorneys cagents OR, alternatively, (2) the name of a single firm thaving as a member a registered nature) or agent and the names of up to 2 registered patent attorneys or agents. If no name is altered, no name will be printed. | | | |
| | less an assignee is ident h in 37 CFR 3.11. Comp | A TO BE PRINTED ON I ified below, no assignee pletion of this form is NO | | patent. If an assigne n assignment. | | document has been filed for |
| Please check the appropr | iate assignee category or | categories (will not be pr | rinted on the patent): | Individual 🛭 Co | rporation or other private gr | oup entity 🚨 Government |
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| 5. Change in Entity Sta | | | Division of | | | NOT 1 077 () (0) |
| | s SMALL ENTITY state d Publication Fee (if req | | | | L ENTITY status. See 37 C stered attorney or agent; or t | FR 1.27(g)(2). he assignee or other party in |
| interest as shown by the | records of the United Sta | ites Patent and Trademark | Office. | | | |
| Authorized Signature | | | | Date | | |
| Typed or printed name | | | Registration No. | | | |
| This collection of inform an application. Confiden submitting the complete this form and/or suggest Box 1450, Alexandria, V Alexandria, Virginia 223 | nation is required by 37 C tiality is governed by 35 d application form to the ions for reducing this bu 'irginia 22313-1450. DC i13-1450. | FR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to the ONOT SEND FEES OR O | on is required to obtain of 1.14. This collection is depending upon the ince e Chief Information Off COMPLETED FORMS | r retain a benefit by the estimated to take 12 n lividual case. Any co- icer, U.S. Patent and TO THIS ADDRESS | ne public which is to file (ar ninutes to complete, includi mments on the amount of t l'rademark Office, U.S. Dep SEND TO: Commissioner | d by the USPTO to process) ng gathering, preparing, and ime you require to complete sartment of Commerce, P.O. for Patents, P.O. Box 1450, |

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APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 10/559.953 07/05/2007 Kenii Hvodo 450106-05232 8332 7590 09/01/2011 William S. Frommer DUNN, MISHAWN N Frommer Lawrence & Haug ART UNIT 745 Fifth Avenue.

> 2484 DATE MAILED: 09/01/2011

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 922 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 922 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom
 of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of
 records may be disclosed to the Department of Justice to determine whether disclosure of these
 records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement neeotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2004 and 2006. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Notice of Allowability

| Application No. | Applicant(s) | |
|-----------------|--------------|--|
| 10/559,953 | HYODO ET AL. | |
| Examiner | Art Unit | |
| MISHAWN DUINN | 2484 | |

| All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included |
|---|
| herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS |
| NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308. |
| 1. A This communication is responsive to <u>amendment filed on 6/7/11.</u> |
| 2. An election was made by the applicant in response to a restriction requirement set forth during the interview on; the restriction requirement and election have been incorporated into this action. |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

| 3. 🛚 | The allowed | claim(s) is/are | <u>9-14</u> . | | |
|------|-------------|-----------------|---------------|--|--|
| | | | | | |

4.

Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

4. M Acknowledgment is made of a dialinition foreign priority under 55 0.5.0. § 119(a)-(d) of (i).

a) ☑ All b) ☐ Some* c) ☐ None of the:

1. Certified copies of the priority documents have been received.

2. Certified copies of the priority documents have been received in Application No.

 Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

* Certified copies not received:

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.
THIS THREE MONTH PERIOD IS NOT EXTENDABLE.

5. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.

6. CORRECTED DRAWINGS (as "replacement sheets") must be submitted.

(a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
1) ☐ hereto or 2) ☐ to Paper No./Mail Date

(b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of

Paper No./Mail Date _____.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of

7. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

| Attac | hme | nt(| s١ |
|-------|-----|-----|----|
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Notice of References Cited (PTO-892).

Notice of Draftperson's Patent Drawing Review (PTO-948)

 Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date

Examiner's Comment Regarding Requirement for Deposit of Biological Material

Interview Summary (PTO-413),

Paper No./Mail Date _____.

7. ☐ Examiner's Amendment/Comment

5. Notice of Informal Patent Application

8.

Examiner's Statement of Reasons for Allowance

9. Other ____

/Thai Tran/ Supervisory Patent Examiner, Art Unit 2484